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I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE'S FIRST CLASS MAIL IN AN ENVELOPE ADDRESSED TO : ASSISTANT COMMISSIONER FOR PATENTS, WASHINGTON, D.C. 20231, ON THE DATE INDICATED BELOW.

BY: Janica Jones

DATE: Jan. 10, 2002

Attorney Docket No. D5009-00028

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of: David B. Loeper

Serial No.: 09/916,358.

Group No.: Not yet known

Filed: July 27, 2001

Examiner: Not yet known

For: METHOD, SYSTEM AND COMPUTER PROGRAM FOR AUDITING FINANCIAL PLANS

BOX.MISSING PARTS
Assistant Commissioner for Patents
Washington, DC 20231

Sir:

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

In response to the "Notice to File Missing Parts of Application-Filing Date Granted" dated August 10, 2001 (copy attached), a response to which is due January 10, 2002, in view of the Petition for Extension of Time below, enclosed herewith for filing are:

- ☒ Declaration by the inventor
- ☐ Substitute specification
- ☐ Substitute drawings

02/13/2002 NMOHAMM1 00000104 09916358

02 FC:217

460.00 02

#3

	Small Entity	Other Than Small Entity
One Month	___ \$ 55	___ \$ 110
Two Months	___ \$200	___ \$ 400
Three Months	<u>X</u> \$460	___ \$ 920
Four Months	___ \$720	___ \$ 1,440

	CLAIMS	:		:NO. PAID	:		:		:ADDIT:	:		: ADDIT :	
	PRESENT	:		:FOR WITH	:	PRESENT:	:	RATE	:FEE	:	RATE	: FEE	:
		:		:BASIC FEE	:	EXTRA	:		:	:		:	:
		:		:	:		:		:	:		:	:
TOTAL:	19	:	MINUS:	20	:	= 0	:	x 9=	:\$:	x18=	:\$:
INDEP:	3	:	MINUS:	3	:	= 0	:	x42=	:\$:	x84=	:\$:
<u>FIRST PRESENTATION OF MULTIPLE DEP. CLAIM</u>								+140=	:\$:	+280=	:\$:
										TOTAL :	\$:	:

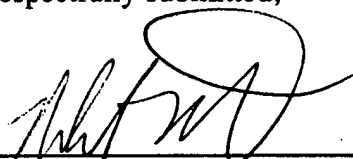
<input checked="" type="checkbox"/>	Surcharge	\$	65.00
<input type="checkbox"/>	Statutory Basic Filing Fee	\$	
<input type="checkbox"/>	Additional claim fees including multiple dependent claims.	\$	
<input checked="" type="checkbox"/>	Extension of Time	\$	460.00
<input type="checkbox"/>	Petition	\$	

Total Amount Due: \$ 895.00

Please charge any deficiency or credit any overpayment to Deposit Account No. 04-1679.

☒ [X] Please charge any deficiency and any other fees that may be required by this paper to Deposit Account No. 04-1679. This sheet is attached in duplicate.

Respectfully submitted,



Robert E. Rosenthal
Registration No. 33,450
Attorney for Applicant

DATED: Jan. 10, 2002

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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/916,358	07/27/2001	David B. Loeper	D5009-00028

CONFIRMATION NO. 9160

FORMALITIES LETTER



OC000000006408200

Robert E. Rosenthal
Duane Morris
One Liberty Place
1650 Market Street, Suite 4200
Philadelphia, PA 19103-7396

Date Mailed: 08/10/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

02/13/2002 NMOHAMM1 00000104 09916358

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*A copy of this notice **MUST** be returned with the reply.*


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Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE